## Structure and Base-Derivative Correspondence in Bantu Affix Ordering

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#### Introduction

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The Mirror Principle and Cyclic Concatenation

- (1)The Mirror Principle [MP]: "Morphological derivations must directly reflect syntactic derivations (and vice versa)." (Baker 1985)
- The MP is usually implemented via cyclic morphological concatenation:
- (2)Procedure for cyclic concatenation
  - **Step 1:** Attach the first affix that combines with the root.
  - **Step 2:** Attach the next affix that combines with the root. (repeat)

Introduction

#### Introduction

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Morphological Templates

- One prima facie challenge to the MP and cyclic concatenation is morphological templates:
- (3)Morphological Templates: Morphemes always appear in a particular order, regardless of structure/scope.
- A famous example is the CARP template in Bantu (Hyman & Mchombo 1992:350, Hyman 2003b:247, Good 2005, a.o.).
- CARP template: Causative-Applicative-Reciprocal-Passive (4)

Asymmetric Compositionality Suffix Doubling Opacity Conclusions References

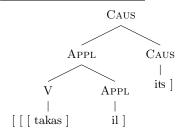
#### Introduction

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CARP: Causative and Applicative in Chichewa

• The only way to form a Causativized Applicative (5) in Chichewa (Mchombo 2004) is in accordance with the CARP template (6a).

#### (5) Causativized Applicative



- (6) a. CARP order ✓ takas-its-il
  - stir-CAUS-APPL-'cause to [stir with]'
  - b. Mirror/Cyclic order X

\*takas-il-itsstir-APPL-CAUSintended: 'cause to [stir with]'

(Hyman 2003b:248)

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 $\star$  Patterns like this tell us that cyclic concatenation can't be the whole story.

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Introduction

#### Introduction

Goals of this talk

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- The goal of this talk is to resolve this tension between the Mirror Principle and morphological templates.
- The solution is to allow structure to influence the derivation without employing a literally cyclic model.

Asymmetric Compositionality Suffix Doubling Opacity Conclusions Reference

#### Introduction

Introduction

#### Components of the framework

- Order is determined in the phonological component primarily by the interaction between two constraint types:
  - 1. Alignment constraints (McCarthy & Prince 1993), whose ranking is dynamically tied to structure via the "Mirror Alignment Principle" (Zukoff 2022).
    - $\hookrightarrow$  Mirror Principle
  - 2. Bigram morphotactic constraints (Ryan 2010) favoring arbitrary templatic orders.
    - $\hookrightarrow$  Morphological templates
- Further structure-dependent aspects of CARP can be explained using Base-Derivative correspondence/faithfulness (Benua 1997, a.o.).

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Introduction

### Roadmap

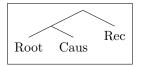
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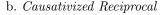
- 1 Introduction
- 2. Asymmetric Compositionality Interpretive asymmetries between CARP forms and non-CARP forms
- 3. Suffix Doubling in Chichewa Restricted suffix doubling and associated asymmetric compositionality
- 4. Overapplication opacity in Nyakyusa Unexpected application of phonology in CARP forms dependent on structure
- 5 Conclusion

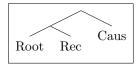


Causative and Reciprocal in Chichewa

- We'll start by considering forms with Causative and Reciprocal.
- (7) a. Causative  $\Leftrightarrow$  /its/
  - b. Reciprocal  $\Leftrightarrow$  /an/
  - c.  $\sqrt{tie} \Leftrightarrow /\text{mang}/$
- Chichewa allows both structural combinations of these two morphemes, yielding distinct interpretations:
- (8) Permissible structures with Caus and Rec
  - a. Reciprocalized Causative

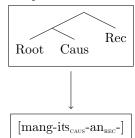




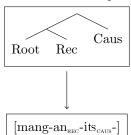


# Asymmetric Compositionality in Chichewa Mirror Orders

- The orders expected via MP / cyclic concatenation are grammatical:
- (9) Cyclic/mirror mappings permissible
  - a. Reciprocalized Causative



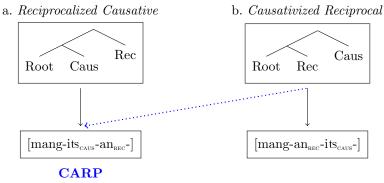
b. Causativized Reciprocal



# Asymmetric Compositionality in Chichewa CARP Orders

- The Causativized Reciprocal can alternatively have the order [ROOT-CAUS-REC].
  - $\rightarrow$  This violates the Mirror Principle, but obeys the CARP template.

#### (10) <u>CARP-obeying, Mirror-violating mapping permissible</u>



No Anti-CARP Orders

- The Reciprocalized Causative can't have MP-violating order [ROOT-REC-CAUS].
  - $\rightarrow$  Only CARP can induce MP violations.

#### (11) No Anti-CARP mappings

a. Reciprocalized Causative

B. Causativized Reciprocal

Root Rec

Root Rec

[mang-its\_caus-an\_rec-]

[mang-an\_rec-its\_caus-]

CARP

Anti-CARP

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#### Asymmetric Compositionality

- Hyman (2003b) calls this state of affairs "asymmetric compositionality".
  - Structures whose MP orders violate CARP are linearly ambiguous.
  - Orders that obey CARP are structurally/semantically ambiguous.
  - → Order-structure pairs that violate both CARP and MP are not allowed.

#### (12)Asymmetric compositionality a. Reciprocalized Causative b. Causativized Reciprocal Rec Caus Caus Root Rec Root $[\text{mang-its}_{\text{\tiny CAUS}} - \text{an}_{\text{\tiny REC}} - ]$

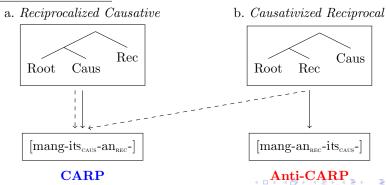
CARP

mang-an<sub>rec</sub>-its<sub>caus</sub>-|

Anti-CARP

What do we need our theory to do?

- Our theory of morpheme ordering must derive two types of mappings:
- (13) a. MP-obeying mappings, regardless of structure (solid lines) b. CARP-obeying mappings, regardless of structure (dashed lines)
- (14) Required mappings



Proposal

- $\star$  There is no obvious way to do this using cyclic concatenation alone.
- I propose to account for these mappings through the parallel interaction of two types of constraints:
- (15) a. Alignment constraints (McCarthy & Prince 1993)
  - → Responsible for MP orders when coupled with the Mirror Alignment Principle (Zukoff 2022)
  - b. Bigram morphotactic constraints (Ryan 2010)
    - $\hookrightarrow$  Responsible for CARP orders
- The alternations inherent to asymmetric compositionality are derived through variable ranking.

Alignment Constraints

- Alignment constraints (McCarthy & Prince 1993) demand that morpheme edges coincide with word edges.
- (16) ALIGN(RECIPROCAL, R; PWORD, R) [ALIGN-REC-R]
  Assign one violation for each segment intervening between the right edge of the exponent of Reciprocal and the right edge of the word.
- (17) ALIGN(CAUSATIVE, R; PWORD, R) [ALIGN-CAUS-R]
  Assign one violation for each segment intervening between the right edge of the exponent of Causative and the right edge of the word.
- The relative ranking of alignment constraints on individual morphemes can determine relative order.

Deriving Chichewa's Mirror Principle behavior

- The two different orders of Caus and Rec correspond to the two different rankings of the alignment constraints:
- (18) Reciprocalized Causative mang-its-an-

$/\mathrm{mang}_{\mathrm{ROOT}},\mathrm{its}_{\mathrm{CAUS}},\mathrm{an}_{\mathrm{REC}}/$		Alig	N-REC-R	Aligi	N-CAUS-R
a.	mang-its-an- [CR]			**	(an)
b.	mang-an-its- [RC]	*!*	(its)		

(19) Causativized Reciprocal mang-an-its-

/mang <sub>root</sub> , its <sub>caus</sub> , an <sub>rec</sub> /		ALIGN	-Caus-R	Align	-Rec-R
a.	mang-its-an- [CR]	*!*	(an)		
b.	mang-an-its- [RC]			**	(its)

Deriving Chichewa's Mirror Principle behavior

- The two different orders of Caus and Rec correspond to the two different rankings of the alignment constraints:
- (18) Reciprocalized Causative mang-its-an-

$/\mathrm{mang}_{\mathrm{ROOT}},\mathrm{its}_{\mathrm{CAUS}},\mathrm{an}_{\mathrm{REC}}/$	ALIGN-REC-R	Align-Caus-R
a. s mang-its-an- [CR]		** (an)
b. mang-an-its- [RC]	*!* (its)	



#### (19) Causativized Reciprocal mang-an-its-

$/\mathrm{mang}_{\mathrm{ROOT}},\mathrm{its}_{\mathrm{CAUS}},\mathrm{an}_{\mathrm{REC}}/$	Align-Caus-R	ALIGN-REC-R
a. mang-its-an- [CR]	*!* (an)	
b. 🕸 mang-an-its- [RC]		** (its)



- $\star$  Alignment ranking directly correlates with structure in MP mappings:
- (20) a. Rec c-commands Caus  $\rightarrow$  ALIGN-REC-R  $\gg$  ALIGN-CAUS-R (18)
  - b. Caus c-commands  $\text{Rec} \to \text{Align-Caus-R} \gg \text{Align-Rec-R}$  (19)

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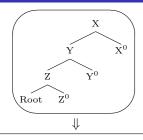
The Mirror Alignment Principle

- This interaction is fully general (Zukoff 2022) cyclic concatenation can be recast using alignment rankings as follows:
- (21) The Mirror Alignment Principle (MAP) (Zukoff 2022)
  - a. If a terminal node  $\alpha$  asymmetrically c-commands a terminal node  $\beta$ , then the alignment constraint referencing  $\alpha$  dominates the alignment constraint referencing  $\beta$ .
  - b. Shorthand: If  $\alpha$  c-commands  $\beta \to ALIGN-\alpha \gg ALIGN-\beta$
- This generates Mirror Principle ordering.
- \* Note that this means that the relative ranking of alignment constraints can differ across different derivations, dependent on structural alternations.

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The Mirror Alignment Principle: Architecture

Morphosyntax



*Interface* 

MAP ranking:  $ALIGN-X-R \gg ALIGN-Y-R \gg ALIGN-Z-R$  $\Downarrow$ 

Phonology

	/Root,	X, Y, Z/	Align-X-R	Align-Y-R	Align-Z-R
	a.	Root-X-Y-Z	*!*	*	
	Ь.	Root-Y-X-Z	*!	**	
	c.	Root-X-Z-Y	*!*		*
Г	d.	Root-Z-X-Y	*!		**
Г	e.	Root-Y-Z-X		**!	*
	f. 🖙	Root-Z-Y-X		*	**

CARP and Bigram Morphotactic Constraints

- CARP mappings can be accounted for using "bigram morphotactic constraints" (Ryan 2010): constraints that prefer specific orders between pairs of morphemes.
- $\bullet$  To generate the preference for, e.g., Caus-Rec orders over Rec-Caus orders:
- (22) Caus-Rec: When exponents of Causative and Reciprocal are both present in the output, assign a violation if an exponent of Causative is not followed by an exponent of Reciprocal.
- (23) **Rec-Caus:** When exponents of Causative and Reciprocal are both present in the output, assign a violation if an exponent of Reciprocal is not followed by an exponent of Causative.
- (24) Ranking: Caus-Rec  $\gg$  Rec-Caus



Bigram Constraints and Fixed Ordering

- If a derivation contained only these bigram constraints, it would select the CARP-obeying order, regardless of the underlying structure.
- (25) Generating the CARP order: mang-its-an- (Caus precedes Rec)

/mang <sub>root</sub> , its <sub>caus</sub> , an <sub>rec</sub> /	Caus-Rec	Rec-Caus
a. 🖙 mang-its-an- [CR]		*
b. mang-an-its- [RC]	*!	

• Some Bantu languages are rigidly CARP obeying. These languages would have invariably undominated bigram constraints.

Variable Ranking Generates Asymmetric Compositionality

- Asymmetric compositionality is derived through ranking variation:
- (26) a.  $MAP \gg Bigram \Rightarrow MP \text{ order}$ b.  $Bigram \gg MAP \Rightarrow CARP \text{ order}$
- $\rightarrow$  When the structure is "CARP-obeying", these two coincide.
- \* The lower-ranked bigram constraint and the lower-ranked alignment constraint have no impact on the derivation, so they are omitted.

Variable Ranking with "CARP-obeying" Structure

- When Rec is structurally higher than Caus, MP-order is CARP-obeying.
  - $\rightarrow$  The MAP constraint (ALIGN-REC-R) and the bigram constraint prefer the same output (CR), hence, no order variation.

#### (27) CARP input: Bigram $\gg$ MAP $\Rightarrow$ Output: CR

[[[Root]Caus]Rec]	Bigram	MAP
$/\mathrm{mang}_{\mathrm{ROOT}},\mathrm{its}_{\mathrm{CAUS}},\mathrm{an}_{\mathrm{REC}}/$	Caus-Rec	ALIGN-REC-R
a. 🖙 mang-its-an- [CR]		
b. mang-an-its- [RC]	*!	** (its)

#### (28) **CARP input:** MAP $\gg$ Bigram $\Rightarrow$ Output: CR

[[[Root]Caus]Rec]	MAP	Bigram
/mang <sub>ROOT</sub> , its <sub>CAUS</sub> , an <sub>REC</sub> /	ALIGN-REC-R	Caus-Rec
a. 🖙 mang-its-an- [CR]		1
b. mang-an-its- [RC]	*!* (its)	**

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Variable Ranking with "CARP-violating" Structure

- When Caus is structurally higher than Rec, the MP-order is CARP-violating.
  - → The MAP constraint (Align-Caus-R) and the bigram constraint prefer different outputs, hence, order variation.

#### (29) Non-CARP input: Bigram $\gg$ MAP $\Rightarrow$ Output: CR

[[[Root]Rec]Caus]	Bigram	MAP	
$/\mathrm{mang}_{\mathrm{ROOT}},\mathrm{its}_{\mathrm{CAUS}},\mathrm{an}_{\mathrm{REC}}/$	Caus-Rec	Align-Caus-R	
a. 🖙 mang-its-an- [CR]		** (an)	
b. mang-an-its- [RC]	*!		

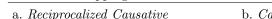
#### (30) Non-CARP input: Bigram $\gg$ MAP $\Rightarrow$ Output: RC

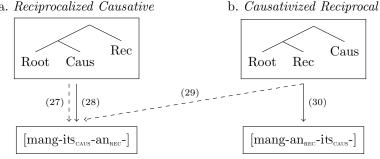
[[[Root]Rec]Caus]	MAP	Bigram
/mang <sub>root</sub> , its <sub>caus</sub> , an <sub>rec</sub> /	Align-Caus-R	CAUS-REC
a. mang-its-an- [CR]	*!* (an)	
b. rang-an-its- [RC]		*

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Local Summary

#### Permissible mappings between structure and order (31)





- CARP bigram [Caus-Rec] ranks higher  $\Rightarrow$  CARP order (dashed lines)
- MAP ranks higher  $\Rightarrow$  Mirror Principle order (solid lines)

 $\hookrightarrow$  Only way to get CARP-violating order (30).

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Local Conclusions

- Integrating MAP-based alignment + bigrams resolves the tension between Mirror Principle and morphological templates.
- Asymmetric compositionality falls out from the way that structure interacts with ranking variability.
- This approach requires parallel constraint interaction, partially dependent on structure.
- Cannot be replicated with cyclic concatenation.

#### Roadmap

- 1. Introduction
- 2. Asymmetric Compositionality
  Interpretive asymmetries between CARP forms and non-CARP forms
- 3. Suffix Doubling in Chichewa
  Restricted suffix doubling and associated asymmetric compositionality
- 4. Overapplication opacity in Nyakyusa
  Unexpected application of phonology in CARP forms dependent on structure
- 5. Conclusion



Applicative and Reciprocal in Chichewa

- Unlike with the combination of Causative and Reciprocal, Chichewa does not allow the CARP-violating MP order for an Applicativized Reciprocal:
- (32) a. CARP order ✓

  mang-il-antie-APPL-REC'tie each other for/at'
- (33) Applicative  $\Leftrightarrow$  /il/

b. Mirror order X

\*mang-an-iltie-REC-APPLintended: 'tie each other for/at'

(Hyman 2003b:253)

Fixed Ordering and Bigrams

- $\bullet$  This is an instance of "fixed ordering"  $(\mbox{\scriptsize Ryan 2010}),$  as opposed to asymmetric compositionality.
- Fixed ordering can be generated by having the bigram APPL-REC invariably outrank the MAP alignment constraints.
- (34) APPL-REC: When exponents of Applicative and Reciprocal are both present in the output, assign a violation if an exponent of Applicative is not followed by an exponent of Reciprocal.

Deriving Fixed Ordering of Applicative and Reciprocal

#### (35) **CARP input:** Bigram $\gg$ MAP $\Rightarrow$ Output: AR

[[[Root]Appl]Rec]	Bigram	MAP 1	MAP 2
/mang <sub>root</sub> , il <sub>appl</sub> , an <sub>rec</sub> /	Appl-Rec	ALIGN-REC-R	ALIGN-APPL-R
a. 🖙 mang-il-an- [AR]			** (an)
b. mang-an-il- [RA]	*!	** (il)	

#### (36) Non-CARP input: Bigram $\gg$ MAP $\Rightarrow$ Output: AR

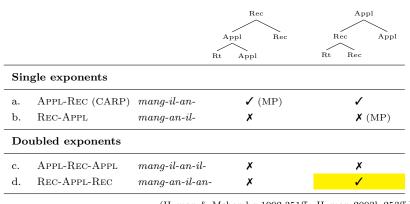
[[[Root]Rec]Appl]	Bigram	MAP 1	MAP 2
$/\mathrm{mang}_{\mathrm{ROOT}},\mathrm{il}_{\mathrm{APPL}},\mathrm{an}_{\mathrm{REC}}/$	Appl-Rec	Align-Appl-R	ALIGN-REC-R
a. 🖙 mang-il-an- [AR]		** (an)	
b. mang-an-il- [RA]	*!		** (il)

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### Suffix Doubling in Chichewa

Suffix Doubling

- There's one more licit output involving Applicative and Reciprocal:
- (37) Permitted orderings of Applicative /il/ + Reciprocal /an/ in Chichewa



(Hyman & Mchombo 1992:351ff., Hyman 2003b:253ff.)

(39)

### Suffix Doubling in Chichewa

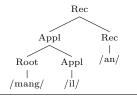
Structure and (Pseudo-)Cyclicity in Suffix Doubling

#### (38) Applicative first structures

a. Applicative mang-il-

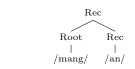


b. Reciprocalized Applicative mang-il-an-



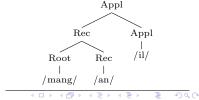
#### Reciprocal first structures

a. Reciprocal mang-an-



b. Applicativized Reciprocal

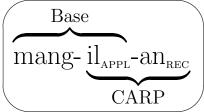
\* $mang-an-il- \rightarrow mang-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an-il-an$ 



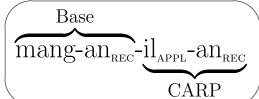
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Have your CARP and eat it too

(40)Reciprocalized Applicative



Applicativized Reciprocal (41)





Analyzing Suffix Doubling

- Faithfulness to the base can be implemented using Base-Derivative Correspondence (Benua 1997): CONTIGUITY (McCarthy & Prince 1995).
- (42) Contiguity-BD: Assign one violation for each pair of segments which are adjacent in the base but not adjacent in the derivative.
- Doubling is penalized by an Input-Output constraint against splitting: INTEGRITY (McCarthy & Prince 1995).
- (43) Integrity-IO: Assign one violation for each segment in the input with multiple correspondents in the output.
- \* Placing these two constraints in a variable ranking relation induces alternation between the doubling form and the simple CARP form.

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Suffix Doubling 0000000000

### Suffix Doubling in Chichewa

Variation between Suffix Doubling and CARP for the Applicativized Reciprocal

#### Non-CARP input: Contiguity-BD ≫ Integrity-IO ⇒ doubling (44)

Base: [mang-an-] ([[Root]Rec])			
[[[Root]Rec]Appl]			i
/mang <sub>ROOT</sub> , il <sub>APPL</sub> , an <sub>REC</sub> /	Appl-Rec	Contig-BD	Integ-IO
a. mang-il-an- [AR]		*!	
b. mang-an-il- [RA]	*!		ı
c. mang-il-an-il- [ARA]		*!	**
d. 🖙 mang-an-il-an- [RAR]			**

#### (45)Non-CARP input: Integrity-IO $\gg$ Contiguity-BD $\Rightarrow$ CARP

Base: [mang-an-] ([[Root]Rec])			i
[[[Root]Rec]Appl]			ı
$/\text{mang}_{\text{ROOT}},  \text{il}_{\text{APPL}},  \text{an}_{\text{REC}}/$	Appl-Rec	Integ-IO	Contig-BD
a. s mang-il-an- [AR]			*
b. mang-an-il- [RA]	*!		I
c. mang-il-an-il- [ARA]		*!*	*
d. mang-an-il-an- [RAR]		*!*	

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No variation for the Reciprocalized Applicative

- No variation for the Reciprocalized Applicative because all the constraints prefer the same order:
- (46) **CARP input:** CARP/MP output (no variation)

Base: [mang-il-] ([[Root]Appl])			ı
[[[Root]Appl]Rec]			1
$/\mathrm{mang}_{\mathrm{ROOT}},\mathrm{il}_{\mathrm{APPL}},\mathrm{an}_{\mathrm{REC}}/$	Appl-Rec	Contig-BD	INTEG-IO
a. 🖙 mang-il-an- [AR]			
b. mang-an-il- [RA]	*!	*	
c. mang-il-an-il- [ARA]			*!*
d. mang-an-il-an- [RAR]		*!	*!*



Asymmetric Compositionality Suffix Doubling Opacity Conclusions References

### Suffix Doubling in Chichewa

Local Conclusion

- This shows that we can analyze certain cases of suffix doubling using similar technology to the basic CARP cases.
- Crucial component: Constraints tied to morphosyntactic structure.
  - Basic cases: MAP-based alignment constraints, whose ranking dynamically alternates according to structure.
  - Doubling case: Base-Derivative faithfulness constraint, whose effect varies depending on the structure it is tied to.

#### \* Important take-away:

Moving away from a purely cyclic architecture to a constraint-based implementation of ordering that is dynamically tied to morphosyntactic structure provides the flexibility to handle trickier phenomena.



### Roadmap

- 1. Introduction
- 2. Asymmetric Compositionality
  Interpretive asymmetries between CARP forms and non-CARP forms
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  Restricted suffix doubling and associated asymmetric compositionality
- 4. Overapplication opacity in Nyakyusa
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"Transitive" Suffix in Bantu

- There is one more verbal extension that participates in the CARP system in some Bantu languages.
- In Nyakyusa (Persohn 2017), it has the form /i/([i,y]).
- $\bullet$  I'll follow Good (2005:9ff.) in referring to this as the "transitive".
  - It is usually called the (short) causative.

Properties of the "Transitive" Suffix

- In many Bantu languages, its reflex triggers some sort of palatalization on preceding segments (e.g. Hyman 2003a).
- It also participates in templatic ordering (e.g. Good 2005):
- (47) The "CARTP" template: CAUS-APPL-REC-TRANS-PASS
- $\rightarrow$  This section will look at one particular interaction of these two properties in Nyakyusa, which results in opacity.
  - \* This interaction is perhaps simpler than a lot of other similar interactions in this domain in the Bantu languages (Hyman 2003a,b), but hopefully it can serve as a model for how to start analyzing those harder problems.



Transitive in Nyakyusa

- Transitive /-i/ induces spirantization of most preceding consonants:
- (48) Transitive forms (Hyman 2003b:269, Myler 2017:105)

Basic	Basic verb		Transitive verb		
[sat-]	'be in pain'	[sa <b>s</b> -i̞-]	'give pain'		
[gel-]	'measure'	[ges-i-j]	'try'		
[ag-]	'run out'	[as-i-]	'make run out'		
[sok-]	'go out'	[so <b>s</b> -i-]	'take out'		
[tup-]	'become thick'	$[\mathrm{tu}\mathbf{f} ext{-}\mathrm{i} ext{-}]$	'thicken'		
[olob-]	'become rich'	[olo <b>f</b> -i̞-]	'make rich'		

- (49) Spirantization (Hyman 2003b:269, Persohn 2017:85)
  - a. Coronals/dorsals:

b. Labials:

 $/t,l,j,k,g/ \rightarrow [s] / _i$ 

[s] / \_ i



Spirantization in Nyakyusa

- Assuming [f,s] uniquely are [+strident]:
- (50) \*C<sub>[-strident]</sub>**j**: Assign one violation for each sequence of non-strident consonant followed by a superhigh front vocoid.
- (51) **IDENT**[±**strident**]-**IO:** Assign one violation for each segment in the output which has a different value of the feature [±strident] than its correspondent in the input.
- (52) Generating spirantization in the basic case

/sat, i¸ <sub>TRANS</sub> /		ANS/	$*C_{[-strident]}i$	IDENT[±strident]-IO		
a.		sa <b>t</b> -į	*!			
b.	呕	sa <b>s</b> -į		*		



Reciprocal in Nyakyusa

- Nyakyusa has the same /-an/ Reciprocal morpheme as Chichewa.
- (53) Reciprocal forms (Persohn 2017:90)

Basic verb	Reciprocal verb		
[sek-] 'laugh (at)'	[sek-an-] 'make fun of each other'		
[tuːl-] 'help'	[tu:l-an-] 'help each other'		
[tit-] 'pinch'	[tit-an-] 'pinch each other'		

• Reciprocal /-an/ can co-occur with Transitive /-i/.

Templatic Ordering of Reciprocal and Transitive

• Nyakyusa has fixed ordering of Reciprocal before Transitive according to CARTP, regardless of scope (54c,d).

(54) Transitive and reciprocal (Myler 2017:105, citing Hyman 2000:9)

```
a. [sob-] 'get lost (intr.)'b. [sof-i-] 'lose' (tr.)' (Transitive)
```

- c. [sob-an-i-] 'get each other lost' (Transitivized Reciprocal)
- d. [sof-an-i-] 'lose each other' (Reciprocalized Transitive)

Opaque Spirantization

- In the Reciprocalized Transitive (54d), we observe **spirantization** of the root-final C, even though the trigger is not adjacent.
- (54) Transitive and reciprocal (Myler 2017:105, citing Hyman 2000:9)

```
a. [sob-] 'get lost (intr.)'
b. [sof-i-] 'lose' (tr.)' (Transitive)
c. [sob-an-i-] 'get each other lost' (Transitivized Reciprocal)
d. [sof-an-i-] 'lose each other' (Reciprocalized Transitive)
```

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Asymmetric Spirantization

- Yet, in the Transitivized Reciprocal (54c), there is **no spirantization** of the root-final C, as we would have otherwise expected.
- (54) Transitive and reciprocal (Myler 2017:105, citing Hyman 2000:9)

```
a. [sob-] 'get lost (intr.)'
b. [sof-i-] 'lose' (tr.)' (Transitive)
c. [sob-an-i-] 'get each other lost' (Transitivized Reciprocal)
d. [sof-an-i-] 'lose each other' (Reciprocalized Transitive)
```

Asymmetric Opaque Spirantization

- Two things to explain:
  - 1. Why do we get spirantization in the Reciprocalized Transitive?
  - 2. Why don't we get spirantization in the Transitivized Reciprocal?



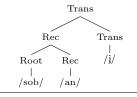
Structure and Opaque Spirantization

#### (55) Reciprocal first structures

a. Reciprocal sob-an-

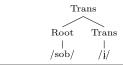


b. Transitivized Reciprocal sob-an-i-



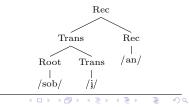
#### (56) Transitive first structures

a. Transitive sof-i-



b. Reciprocalized Transitive

$$*\underline{sof}\underline{\underline{i}}-an- \rightarrow \underline{sof}-an-\underline{\underline{i}}-$$



Sam Zukoff, UCLA

Opaque Spirantization via BD-Correspondence

- This is cyclic overapplication, as was basically suggested by Hyman (2003b).
  - $\hookrightarrow$  Can be handled just like suffix doubling in Chichewa: BD-Correspondence.
- Overapplication of spirantization triggered by IDENT[±strident]-BD:
- (57) **IDENT**[±strident]-BD: Assign one violation for each segment in the derivative which has a different value of the feature [±strident] than its correspondent in the base.

### Opacity in Nyakyusa

Deriving Opaque Spirantization

Sam Zukoff, UCLA

#### (58) Non-CARTP input: opaque spirantization sof-an-i-

Base: [sof-i-] ([[Root]Trans])		I	I	
[[[Root]Trans]Rec]		I	I	
/sob <sub>root</sub> , i <sub>trans</sub> , an <sub>rec</sub> /	Rec-Trans	ID[str]-BD	$^{\rm +*C_{[-str]}i}$	ID[str]-IO
a. sob-an-j- [RT]		*!		
b. 🖙 sof-an-j- [RT]		ı	I	*
c. sob-į-an- [TR]	*!	*!	*!	
d. sof-i-an- [TR]	*!	I		*

# (59) **CARTP input:** no spirantization sob-an-i- (regular non-application)

DASE. [SOD-an-] ([[ROOt]Rec])			l	
[[[Root]Rec]Trans]		I	I	
/sob <sub>root</sub> , j <sub>trans</sub> , an <sub>rec</sub> /	Rec-Trans	ID[str]-BD	$^{\rm C}_{\rm [-str]}$	ID[str]- $IO$
a. sob-an-j- [RT]		<del> </del>		
b. sof-an-j- [RT]		*!		*
c. sob-į-an- [TR]	*!	*!	*!	
d. sof-i-an- [TR]	*!			*

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### Opacity in Nyakyusa

Local Conclusions

- BD-Correspondence generates restricted overapplication in the same way it generates restricted suffix doubling.
- This approach generates "cyclic" opacity without having to posit reordering or movement by drawing on insights of cyclic phonology/morphology without implementing a literally cyclic framework.

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### Conclusion

Summary

- This talk examined three phenomena related to the CARP template:
  - 1. Asymmetric compositionality
  - 2. Suffix doubling
  - 3. Overapplication opacity

Asymmetric Compositionality Suffix Doubling Opacity Conclusions Reference

### Conclusion

#### Conclusion

- In each case, indirect reference to morphosyntactic structure has played a crucial role in deriving an asymmetry.
  - Differential ranking of alignment constraints driven by the MAP for asymmetric compositionality
  - 2. Different properties of bases connected by BD-faithfulness for doubling and overapplication.
- $\star$  Integrating templatic and non-templatic morphology requires indirect reference to morphosyntactic structure through parallel constraint interaction.
  - → Morphological templates preclude cyclic concatenation without additional mechanisms.



#### Conclusion

Big-picture Takeaway

- Structure is crucial even in templatic morphology.
  - → The latter two cases involved fixed ordering where it is not obvious the Mirror Principle is in effect at all.



# Thank you!

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